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TOWNSEND and TOWNSEND and CREW LLP

By: [Signature]

PATENT  
Attorney Docket No.: 021822-000200US  
Client Reference No.: 03FW01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Kun Lu

Application No.: 10/691,474

Filed: October 21, 2003

For: RING MAP DISCOVERY AND  
VALIDATION METHOD AND  
SYSTEM FOR OPTICAL NETWORK  
APPLICATIONS

Confirmation No.: 5026

Examiner: SEDIGHIAN, REZA

Art Unit: 2613

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. A copy of the foreign reference is enclosed. These references have been provided by the Search/Examination report corresponding to the PCT application, a copy of which is also enclosed.

As shown on the Search/Examination report, the references have been classified into Category A for "document defining the general state of the art which is not considered to be of particular relevance." Nonetheless, Applicant, in the abundance of caution, submits this communication to make a full explicit disclosure before the U.S. Patent Office.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

If a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



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Substitute for form 1449A&B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)			<b>Complete if Known</b>		
			Application Number	10/891,474	
			Filing Date	October 21, 2003	
			First Named Inventor	Lu, Kun	
			Art Unit	2613	
Examiner Name	SEDIGHIAN, REZA				
Sheet	1	of	1	Attorney Docket Number	021822-000200US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	2002/0075540	06-20-2002	Munter	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>1</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	2	WO	03/041304		05-13-2003	CIENA CORP		<input type="checkbox"/>
								<input type="checkbox"/>

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
			<input type="checkbox"/>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.